

## Financial Services

At the core of Bressler's legal practice is its commitment to financial services and leadership in the industry. For nearly 40 years, we have served banking organizations, investment banks, broker-dealers, investment funds, insurance companies, credit unions, FinTech enterprises, and other financial institutions across a wide array of practice areas including securities, insurance, commercial litigation, labor and employment, and real estate matters. Through our fully integrated and comprehensive team of transactional, regulatory and litigation attorneys, we remain at the forefront of the industry trends, critical business issues and regulatory challenges that our clients confront every day.

With one of the largest concentrations of attorneys dedicated to serving the financial services industry, Bressler is widely recognized as having one of the leading practices for Financial Institutions practices in the country. Bressler's Financial Services team is comprised of top-tier lawyers with diverse regulatory, transactional and litigation backgrounds, who cover a full range of matters for financial institutions in the areas of banking, bank regulatory, securities, expungements, derivatives, broker-dealer regulatory, compliance, internal investigations, retail client relationships, derivatives, investments, arbitration, asset management, litigation, and regulatory enforcement. Our Financial Services team also represents clients in a full suite of practice areas including dispute resolution, complex lending transactions, bankruptcy matters, corporate trust, fiduciary, litigation, mergers and acquisitions, general corporate matters, real estate matters, insurance litigation, labor and employment counseling and litigation, corporate compliance, environmental law, and cybersecurity, privacy, and technology concerns, and general corporate matters.

At a time of tremendous and ongoing change in the financial services industry, Bressler keeps its finger on the pulse of developments and trends affecting financial industry organizations. To that end, Bressler has established teams of lawyers to serve our clients with such current trending topics and issues as Financial Crime Compliance and Anti-Money Laundering practice team, a Senior and Vulnerable Investor Group, and Cybersecurity, Privacy, and Technology team, and a dedicated Registered Investment Adviser practice team, to better serve our clients in this industry. Through our longstanding, strong and committed relationships with prominent industry organizations, we can keep abreast of industry developments with regulators, self-regulatory bodies and other financial

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Financial Institutions Advisory Practice  
Financial Institutions Enforcement Defense  
Financial Institutions Internal Investigations  
Financial Institutions Litigation and Arbitration  
FINRA Employment Arbitrations  
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industry groups.

As we head into a future of significant regulatory enforcement priorities and economic uncertainty, Bressler is committed to offering our clients robust litigation and arbitration experience and an enforcement defense and advisory practice second to none. We are dedicated to the clients in this industry and strive to provide legal services, and strategic business guidance that evolves with needs of our clients, responds to market changes and leverages our team's in-house counsel experience, existing relationships with regulators, and decades of knowledge, experience and expertise in the financial services industry.

Labor & Employment Litigation  
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