

Roxanne Rehm

Principal

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Roxanne is a member of the Financial Institutions and the Insurance & Healthcare Regulatory Compliance and Transactional practice groups. With more than 20 years of well-rounded experience, Roxanne's career has included serving in a regulatory capacity, as well as both private practice and in-house roles. She has handled insurance and securities issues for financial institutions, insurance carriers, third-party administrators and insurance agencies. Most recently, she served as the Director and Associate General Counsel for Citigroup's Global Wealth businesses. Roxanne also served as an Assistant General Counsel with the Florida Department of Financial Services, was responsible for the administrative prosecution of Florida licensed insurance agents, and specialized in complex financial transactions and annuities.

Roxanne focuses her practice on securities and investments, including insurance products, and is uniquely situated to understand challenges associated with the distribution of products in a highly regulated industry and the regulatory compliance considerations involved, as well as the reputational and litigation risks. She assists her clients with the retail sales of non-deposit investment products (RNDIP) occurring on or from depository institution premises or to depository institution clients; FINRA and SEC regulatory exams, inquiries and investigations; pre-litigation customer disputes involving securities and investments; and insurance agency activities related to the sale, solicitation and marketing of insurance and annuities. As a member of Bressler's Senior and Vulnerable Investor Group, Roxanne also advises corporate clients on issues related to preventing and reporting senior financial abuse.

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AREAS OF PRACTICE

Financial Institutions

Insurance & Healthcare
Regulatory Compliance and
Transactional

Senior & Vulnerable Investor
Group

EDUCATION

- Florida State University
College of Law, J.D., 2003
- University of South Florida,
B.A., 1997

ADMISSIONS

Florida

News & Alerts

Bressler, Amery & Ross Increases Presence in Florida with Addition of Four Attorneys
Firm News, 04.11.2024

Articles & Publications

Former Citi In-House Atty Joins Bressler Amery In Florida
Article, *LAW360*, 04.12.2024