

# Logan S. Fisher

Chief Financial Officer & Principal

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### lfisher@bressler.com

Logan Fisher is a principal in the firm's Financial Institutions practice group, passionately and effectively advocating on behalf of broker-dealers, financial advisors, private equity, crowdfunding and other financial services professionals.

Logan's practice is primarily devoted to representing broker-dealers and registered representatives in securities litigation, including customerinitiated complaints with self-regulatory organizations such as the Financial Industry Regulatory Authority (FINRA). He has successfully handled numerous arbitrations involving a variety of claims, including fraud, misrepresentation, unsuitability, unauthorized trading and churning. Logan also has experience counseling individual financial advisors regarding Form U4 and U5 disclosures.

In his Financial Institutions regulatory practice, Logan has represented broker-dealer and registered investment adviser clients in a variety of state and industry investigations, including those related to selling away and alleged violations of the Investment Advisers Act. He has also counseled registered investment adviser clients concerning required disclosures under Form ADV.

Logan also represents individual entrepreneurs and business organizations in connection with the formation of corporate entities, crowdfunding ventures, and litigation before state and federal courts in New Jersey and New York.

Logan is also a member of Bressler's Senior and Vulnerable Investor Group. The lawyers in this group have a shared interest in providing counsel to corporate clients who confront issues affecting seniors.

A *magna cum laude* graduate of Duquesne University School of Law, Logan served as Executive Editor of the *Duquesne Law Review*. While in law school, Logan co-authored an article on Election Law that was published in

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### AREAS OF PRACTICE

Corporate and Commercial Transactions

**Emerging Companies** 

Expungements

**Financial Institutions** 

Financial Institutions Advisory Practice

Financial Institutions Enforcement Defense

Financial Institutions Litigation and Arbitration

General Litigation

Investment Advisers

Mergers & Acquisitions

Retail Client Relationships

Senior & Vulnerable Investor Group

## EDUCATION

- Duquesne University School of Law, J.D., magna cum laude, 2009
- Temple University, B.B.A, Law and Business, 2006



Logan S. Fisher

the *Harvard Journal on Legislation.* He received his undergraduate degree in Business Administration from Temple University's Fox School of Business & Management.

## **News & Alerts**

Older Adults Fall Victim to Government Impersonation Scams at Rates Higher than Previously Thought Alert, 10.09.2023

## **Speaking Engagements & Events**

Logan Fisher, Andrew Egan, and Audrey Rizk to Speak on Estate Planning Council of Northern New Jersey Webinar Webinar, 02.28.2024

Logan Fisher to Moderate NSCP Regulatory Interchange Webinar Speaking Engagement, 02.15.2024

Frank Cuccio and Logan Fisher to speak at 2023 NSCP National Conference Conference, Dallas, Texas, 10.18.2023

# **Professional Affiliations**

- American Bar Association
- New Jersey State Bar Association
  - Chair, Securities Law Committee, 2020-2021
- New York State Bar Association
- Member, National Association of Compliance Professionals (NSCP)
- Member, Securities Industry and Financial Markets Association (SIFMA)

## Awards & Honors

Included on *NJBIZ's* list of Leaders in Finance, 2023

This award is conferred by NJBIZ, a publication of Bridge Tower Media. A description of the selection methodology is available here. No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

### ADMISSIONS

New Jersey

New York

U.S. District Court, District of New Jersey