

Kathryn Burfitt Rockwood

Principal

Florham Park | New York
t 973.245.0677 | f 973.514.1660
t 212.425.9300

krockwood@bressler.com



Kathryn Rockwood is a principal in the firm's Financial Institutions practice group, advocating and on behalf of broker-dealers and other financial services professionals. Her practice is focused on representing broker-dealers and registered representatives in securities litigation, primarily customer-initiated complaints with Financial Industry Regulatory Authority (FINRA). She also assists clients with internal and regulatory investigations and inquiries. Combining her past experience in local government representation, she has helped develop the firm's Public Funds Advisory practice, which advises brokers and investment advisors in the management of public entity funds and assists with the development of investment policy statements for public entities nationwide.

In addition to her regular practice, Kathryn serves as Secretary for the Morris County Bar Foundation and is a member of the New Jersey Supreme Court District XA Ethics Committee. Kathryn also volunteers with the Morris County Battered Women's Legal Advocacy Project representing victims in domestic violence matters.

Prior to joining the firm, Kathryn practiced primarily in the area of local government, representing municipalities and public entities including property tax appeal defense, and individuals in land use and zoning applications before local land use boards. Kathryn earned her Diplomate in New Jersey Local Government Law from the New Jersey Institute of Local Government Attorneys, and served as an Associate Editor for the Local Government Law Review from 2015 to 2017.

Kathryn graduated from Seton Hall University School of Law in 2011 where she was a member of the Family Law Clinic for the law school's Center for Social Justice, including representation of indigents in the Federal District Court in Hague Convention child abduction cases. Kathryn received her Bachelor of Arts in History from Colgate University in 2001.

325 Columbia Turnpike
Suite 301
Florham Park, NJ 07932

17 State Street
34th Floor
New York, NY 10004

AREAS OF PRACTICE

Expungements
Financial Institutions
Financial Institutions Advisory
Practice
Financial Institutions Litigation
and Arbitration
Public Funds Advisory
Retail Client Relationships
Senior & Vulnerable Investor
Group

EDUCATION

- Seton Hall University School of Law, J.D., 2011
- Colgate University, B.A., 2001

ADMISSIONS

New Jersey
New York
U.S. District Court, District of
New Jersey
U.S. District Court, District of
North Dakota

Prior to law school, Kathryn was a member of the U.S. High Yield and High Grade Strategy Research teams at J.P. Morgan, and before that was employed at an Off-Broadway general management company and at Actors' Equity Association, the theatrical actors and stage managers union.

Kathryn served as judicial law clerk to the Honorable Thomas L. Weisenbeck, A.J.S.C., in the Morris/Sussex vicinage of the New Jersey Superior Court. She is admitted to the bars of the State of New Jersey, the State of New York, the U.S. District Court for the District of New Jersey and the U.S. District Court for North Dakota.

Awards & Accolades

- Selected to the list of The Best Lawyers in America®, "Ones to Watch," 2022-2024
- Associate, Editor, Local Government Law Review (2015-2017)

No aspect of this or any advertisement has been approved by the Supreme Court of New Jersey. A description of the award methodologies can be found here.

News & Alerts

Bressler, Amery & Ross Announces New Principal and Counsel Promotions
Firm News, 01.09.2024

52 Bressler Attorneys Recognized by Best Lawyers in America 2024, Including 2 "Lawyer of the Year" and 16 "Ones to Watch"
Firm News, 08.17.2023

Speaking Engagements & Events

Estate Planning Issues Faced by Financial Services Professionals and Their Clients
Webinar, 06.11.2024

Articles & Publications

New Partners Yearbook 2024
Article, *New Jersey Law Journal*, 03.08.2024

Civic Involvement

- Volunteer, Morris County Battered Women's Legal Advocacy Project

Professional Affiliations

- Secretary, Morris County Bar Foundation, Board of Trustees
- Co-Chair, Morris County Bar Association – Financial Services / Securities Committee
- New Jersey State Bar Association

- New Jersey Women Lawyers Association
- Member, NJ Supreme Court District XA Ethics Committee