

# Brian F. Amery

Firm Founding Principal and Chairman Emeritus

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Brian F. Amery has dedicated himself to building a law firm that people respect, trust and have depended upon for nearly four decades. Since cofounding Bressler Amery & Ross, Brian has leveraged his entrepreneurial mindset, business expertise, and legal experience to the benefit of his clients and colleagues. He has also instilled his client-first, business approach to the practice of law onto all of the lawyers who have worked with him to grow the firm from a small boutique in the early 1980s, to the national presence the firm holds today. Brian believes his clients deserve the very best, and strives for the exceptional – every day, in every matter.

As chair emeritus of Bressler, and during his tenure as firm-wide Managing Principal and leader of its Executive Committee, the firm opened five new offices across four states, significantly expanded its practice offerings, and developed and implemented strategies for continued growth and profitability. Under Brian's strategic direction, Bressler Amery & Ross has formed a national presence for which it has received numerous industry awards, recognitions, and accolades.

Brian has always had an interest in business. At Ohio University, where he received both his undergraduate and MBA degrees, his education focused on finance. After graduating from Georgetown Law, Brian began his legal career in Merrill Lynch's litigation department, where his passion for the financial services industry and securities litigation began. He has dedicated his entire 40-year career to representing financial services clients.

Brian is a problem-solver. He has developed an in-depth knowledge and understanding of the business and the legal issues which confront the financial industry. Many general counsels rely on his advice and legal counsel in resolving their most difficult problems because they appreciate his practical, businesslike approach in developing solutions for some of their thorniest cases and regulatory matters. Brian also provides clients with risk management counseling on business issues, bringing to bear his insights and experience honed as a former General Counsel and Senior Officer of a

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#### AREAS OF PRACTICE

Business & Commercial Litigation

Financial Institutions

Financial Institutions Internal Investigations

Financial Institutions Litigation and Arbitration

Retail Client Relationships

#### **EDUCATION**

- Georgetown University, J.D., 1974
- Ohio University, M.B.A., 1971
- Ohio University, B.A., 1970

## **ADMISSIONS**

New Jersey

New York

U.S. District Court, Eastern District of New York

U.S. District Court, Southern District of New York

U.S. District Court, District of





commodity trading and brokerage firm.

Clients appreciate Brian's sound, practical advice, and perspective. Brian's industry expertise enables his clients to focus on their business, knowing that their legal matters are being handled strategically, efficiently and thoughtfully. This trust provides fruitful collaboration, excellent client service, and strong personal relationships.

Experience

- Lead counsel in a potential \$500 million litigation exposure resulting from the sale of complex investment products. Developed the overall strategy for litigating and otherwise resolving the litigation, substantially reducing the client's exposure.
- Successfully tried a matter for 12 days in which the customer sought over \$50 million in damages and rescission in connection with the purchase of hundreds of millions of dollars in auction rate securities. The FINRA panel of arbitrators dismissed all damage claims, only requiring the firm to buy back the remaining securities held by the customer which were then trading at a 10% discount to par.
- Lead counsel for client in a six week jury trial involving a \$40 million annuity investment.
- Represented client in FINRA firm-wide mutual fund share class investigation.
- Represented client before the SEC in investment adviser investigation.
- Successfully developed the litigation strategy for handling hundreds of cases resulting from the sale of a leverage municipal bond product representing \$200 million in potential exposure to the client and successful managing the litigation exposure through selectively trying and settling cases.
- Acted as co-head of a team of outside counsel involved in defending thousands of cases filed against a client and developed the litigation strategy and standardized documentation to facilitate the cost efficient and successful resolution of the mass litigation.
- Successfully handled a number of substantial auction rate securities arbitrations before FINRA for a major Wall Street firm representing over \$200 million in exposure.

New Jersey

U.S. Court of Appeals, Second and Third Circuits



## **News & Alerts**

52 Bressler Attorneys Recognized by Best Lawyers in America 2024, Including 2 "Lawyer of the Year" and 16 "Ones to Watch"

Firm News, 08.17.2023

Chambers USA 2023 Recognizes 13 Bressler Attorneys and Five Practice Areas Firm News, 06.01.2023

## **Professional Affiliations**

- Member, American Bar Association
- Member, New Jersey State Bar Association, Securities Litigation & Regulatory Enforcement Committee
- Member, New York State Bar Association Broker/Dealer Committee
- Former Adjunct Instructor, Ohio University and George Mason University, Corporate Finance and Business Management
- Member, Securities Industry and Financial Markets Association (SIFMA) Compliance and Legal Society

# **Awards & Honors**

Recognized in the Chambers USA Guide to "America's Leading Lawyers for Business," Litigation: Securities,
2022

This award is conferred by Chambers & Partners. A description of the selection methodology is available here. No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Included in the list of the Best Lawyers in America, 2020-2024

This award is conferred by Best Lawyers. A description of the selection methodology is available here. No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

■ Selected to the list of *Martindale-Hubbell* AV® Preeminent Lawyers

This award is conferred by Martindale-Hubbell. A description of the selection methodology is available here. No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

■ Recipient of NJBIZ's "ICON Award," 2022

This award is conferred by NJBIZ, a publication of Bridge Tower Media. A description of the selection methodology is available here. No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Selected to the list of New Jersey Super Lawyers, 2005-2022



New Jersey Super Lawyers is conferred by Thomson Reuters. A description of the selection methodology is available here. No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

■ Selected to the list of *New York Metro Super Lawyers*, 2005-2022

New York Metro Super Lawyers is conferred by Thomson Reuters. A description of the selection methodology is available here. No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

## Get to Know Brian

In his spare time, Brian enjoys playing golf, skiing, paddle, and playing tennis. He is a history enthusiast and actively participates in several charitable organizations, especially causes that are aimed at making a big difference in the lives of children in need.